

Form No:	
Branch Name	
Branch Code	
Client Name	
Unique Client Code	
Back Office Code	
Trading Type	
DP Branch Code	
DP Client ID	
Date of Activation	
Docket sent by Branch Dt	
Docket recieved at HO Dt	
Dealer Code	

Client Registration Form for Commodities Account

(For Non Individual Client)

Dealmoney Commodities Private Limited

(Formerly known as Destimoney Commodities Pvt. Ltd.)

Registered & Correspondence Office : Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.

Thane - 400 604, India. Tel : +91 4184 2222 • Fax: +91 4184 2257

Email: customercare@dealmoney.in • Website: www.dealmoneyonline.com

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3	Rights and Obligations of Members, Authorized Persons and Clients	Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
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INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in commodity derivatives on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a regional language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FIPB/FEMA guidelines and other applicable statutory approvals), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodities.
10. For opening an account with Depository Participant or Mutual Fund , for a minor photocopy of school Leaving certificate/Mark Sheet issued by Higher Secondary Board/Passport of minor /Birth certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(Note: Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/ Unique Identification Number (UID) (Aadhaar).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/Sub account , power of attorney given by FII/Sub-account to the custodians (which are dully notarized and/or apostilled or consularised) that gives the registered address should be taken .

8. The proof of address in the name of the spouse may be accepted.

D. Exemptions to PAN

(Note: Sufficient documentary evidence in support of such claims to be collected.)

1. Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government).
3. UN entities /multilateral agencies exempt from paying taxes/filing returns in India.
4. SIP of mutual funds up to Rs. 50,000/- p.a

5. In case of institutional clients , namely , FIs, MFs, VCFs, FVCIs, scheduled commercial Banks , Multilateral and Bilateral Development Financial Institutions , State Industrial Development Corporations , Insurance companies registered with IRDA and Public Financial Institution as defined under section 4A of the companies Act , 1956 , custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Cooperative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in commodity market. • Copy of the Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in commodity on behalf of
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF & List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Details of Promoters/Partners/Karta/Trustees and whole time directors forming a part of Know Your Client (KYC) Application form for Non-Individuals

Name of Application _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN (For Directors) / UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

Name & Signature of the Authorised Signatory(ies) _____ Date _____ / _____ / _____ PEP: Politically Exposed Person RPEP: Related to Politically Exposed Person

KYC (ACCOUNT OPENING) APPLICATION FORM (FOR NON-INDIVIDUALS)

SR No .: _____

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of Clients)

To,

M/s Dealmoney Commodities Private Limited

Reg. Office Address : Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate. Thane - 400 604, India.

Tel : +91 22 4184 2222 **Fax:**

Email: customercare@dealmoney.in/ compliance@dealmoney.in

Website: www.dealmoneyonline.com

Registration Numbers:

MCX TCM ID - 40770, SEBI REGISTRATION NO. INZ000102031

NCDEX TCM ID - 1060, SEBI REGISTRATION NO. INZ000102031

Name of the CEO: Mr. Dishant Sagwaria

Telephone: 022 - 4184 2222

Email: md@dealmoney.in

Name of the Compliance Officer: Mr. Michael D'Souza

Telephone: 022 - 4184 2222

Email: compliance@dealmoney.in

For any grievance/dispute please contact Dealmoney Commodities Private Limited at the above address or email id-customer@dealmoney.in and Phone no. 91-22- 4184 2222 and if not satisfied with the response, please contact the concerned exchange(s):

For MCX: E-mail id:- grievance@mcxindia.com and Phone no. +91- 22-66494070

For NCDEX: E-mail id:- ig@ncdex.com and Phone no. +91- 22-66406789

Dear Sirs,

I/We request you to register me/us as your Client. I/We have read the Rules, Bylaws and Regulations of the MCX, NCDEX and FMC and agree to abide by them. In this regard, I/We give the following information:

A. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving / Current / Others	MICR No.	IFSC Code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank

B. OTHER DETAILS

Gross Annual Income Details (please specify) Income Range per annum:	<input type="checkbox"/> Below Rs. 1 Lac	<input type="checkbox"/> Rs. 1 to 5 Lac	<input type="checkbox"/> Rs. 5 to 10 Lac	<input type="checkbox"/> Rs. 10 to 25 Lac	<input type="checkbox"/> Above Rs. 25 Lac
	OR				
Net Worth as on _____ (dd / mm / yyyy)	Rs. _____ (Net worth should not be older than 1 year)				

Occupation (please tick any one and give brief details):

Private Sector Public Sector Government Service Business Professional Farmer

Others(specify) _____

Brief Details of Occupation _____

Please tick, as applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)
 Not a Politically Exposed Person (PEP) Not Related to a Politically Exposed Person (PEP)



C. DEPOSITORY ACCOUNT(S) DETAILS, if available

Depository Participant Name	Depository Name (NSDL/ CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

D. TRADING PREFERENCES

Note: Please sign in the relevant boxes against the Exchange with which you wish to trade. The Exchange not chosen should be struck off by the client.

Sr. No.	Name of the National Commodity Exchanges #		Date of Consent for trading on concerned Exchange	Signature of the Client
1	Multi Commodity Exchange of India Limited	Futures <input type="checkbox"/> Options <input type="checkbox"/>		 _____ (3/17)
2	National Commodity & Derivatives Exchange Limited	Futures <input type="checkbox"/> Options <input type="checkbox"/>		 _____ (4/17)

In case a client opts for trading on any other Exchange at a later date, which is not selected now, client shall submit a separate consent letter and it will be kept as an enclosure with this document.

E. INVESTMENT/TRADING EXPERIENCE

___ No Prior Experience ___ Years in Commodities ___ Years in other investment related fields

F. GST Registration Details (As applicable, State wise)

GST Registration No.:	Validity Date:	Name of the State:

G. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/ taken by FMC/ SEBI / Stock exchange / Commodity exchange/any other authority against the client during the last 3 Years: _____

H. DEALINGS THROUGH OTHER MEMBERS

If client is dealing through any other Member, provide the following details (in case dealing with multiple Members, provide details of all in a separate sheet containing all the information as mentioned below) :

Member's / Authorized Person (AP)'s Name: _____

Exchange: _____ Exchange's Registration number: _____

Concerned Member's Name with whom the AP is registered: _____

Registered office address: _____

Phone: _____ Fax: _____ Client Code: _____

Email: _____ Website: _____

Details of disputes/dues pending from/to such Member/AP: _____

I. INTRODUCER DETAILS (optional)

Name of the Introducer: _____
(Surname) (Name) (Middle Name)

Status of the Introducer: Authorized Person Existing Client Others, please specify _____

Address and phone no. of the Introducer: _____

_____ Phone No.: _____

Signature of the Introducer:  _____

J. ADDITIONAL DETAILS

1. Whether you wish to receive communication from Member in electronic form on your Email-id: Yes No
 { If yes then please fill in Appendix-A}
2. I would like to receive following documents through PHYSICAL ELECTRONIC MODE
 Rights and Obligations/ Uniforms Risk Disclosure Documents / Do's and Dont's

K. NOMINATION DETAILS

I/We wish to nominate I/ We do not wish to nominate

Name of the Nominee: _____ Relationship with the Nominee: _____
 PAN of Nominee: _____ Date of Birth of Nominee: DDMMYYYY
 Address of the Nominee: _____
 _____ Phone No.: _____

If Nominee is a minor, details of guardian:

Name of guardian: _____ Address of Guardian: _____
 _____ Phone No.: _____

Signature of guardian  _____

WITNESSES (Only applicable in case the account holder has made nomination)

Name _____	Name _____
Signature _____	Signature _____
Address _____	Address _____
_____	_____

DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Do's and Dont's'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website viz. www.destimoney.com and/or other such website which may be designed by the company from time to time.

Place: _____ Date: _____ Signature of Client:  _____ (5/17)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Signature	

I / We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, 'Do's and Dont's' and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website viz. www.dealmoneyonline.com and/or other such website which may be designed by the company from time to time for the information of the clients.

Signature of the Authorised Signatory:  _____

Date: _____

Seal/Stamp of the Member

1. Refusal of orders of Commodity Derivatives

The client is aware and agrees that the commodity broker may refuse or restrict a client in placing the order in certain commodities depending on various conditions like volume /value /part of illiquid commodities although a client may have credit balance or sufficient margin in the trading account. However Commodity broker under exceptional circumstances may execute cliental order. The commodity broker has the discretion to reject execution of such orders based on its risk perception.

2. Setting up Client's Exposure Limit

The client is aware and agrees that commodity broker may set the Exposure limits on the basis of available base capital which may comprise of ledger and collaterals (after suitable margin hair cut), The limits may be allowed on a multiplier basis to the available capital or actual VAR margin basis or a specified margin depending on the market conditions , clients agreed that said limit parameters is a dynamic process that is allowed at the discretion of the commodity broker based on the market conditions and their risk perception about the market . However on exceptional situations broker may use its own discretion in providing the limits and may charge for a client or for all depending on market conditions.

3. Applicable Brokerage Rate

The brokerage would be charged to the clients based on the rates mutually agreed upon from time to time, subject to the ceilings prescribed by the exchanges / SEBI.

4. Imposition of penalty /delayed payment charges:

- The client is required to pay all amounts due to the commodity broker on its due date. The amount due to broker shall include all types of margins and pay-in obligations or on account of any other reason .
- In case the client does not pay the amount due on time, the commodity broker shall charge delayed payment charges up to the rate of 21% per annum on daily outstanding values. In case of commodity market, interest will be charges from the time it becomes due till the time obligation is cleared by the client. The objective of charging such charge is to force a client to clear their dues on timely basis.
- The client agrees that the commodity broker may impose fines /penalties for any orders / trades of the clients which are contrary to the rules & regulations of the exchange. Further under the instances where the commodity broker has been penalized from any authority on account of as a consequence of orders/trades of the client the same shall be borne by the client.

5. The right to sell the clients commodity derivatives position or close clients position, without giving notice to the client , on account of non payment of client dues .

The client shall provide timely funds /securitie/commoditieor the purchase / sales of commodities o the broker for meeeting his obligatioo the Exchange . In case of client falling short of providing funds /commodities the ommodity broker has the right tto close the position. The Commodity broker has the right to sell clients securities or close clients position with or without giving prior notice o client on account of non payment of dues to the extent of ledger debit and / or to the extent of margin obligations. The Boker can liquidatte the securities bou t or collaterals given or any other commodities gi en in any other form for clearing the clients obligaation.

6. Shortage in obligation arising out of i ternal neetting ofades.

The internal close out policy for handling internal shortages in commodities is in line with the exchange policy for handling shortages at Exchange level. Apart from this the seller will be additionally debied by 0.5% penalty . Thus the buyer will get the credit of shortage based on the calculation gi en by Exchanges and the seller will be debited by the same amount plus 50% penalty, any changes in this policy will be updated on Destimon y Commodity Pvt. Ltd website from time to time.

7. Conditions under which ae client may not be allowed to takke further position or the oker may

close the existing position of client

In case overall position in derivatives contracts has reached the regulators prescribed Exchange limit / Market wide open interest limit, then client may not be allowed to take further position, till such time regulator prescribed limits come down to create a new position. Further, the commodity broker may close the existing position of the client to the extent of debit balances to release the margin from the Exchange. In case if the commodity broker has sufficient margin cover on behalf of its clients, it may still decide based on the market conditions and risk perception not to allow further position or may close the selling position of a client.

8. Dormant Account Policy

Client account will be considered as dormant if the client does not trade for a period of 6 months. The client has to make a request for reactivation of their account.

9. Temporary suspension/ closure of accounts

- The client may request the commodity broker for the temporary suspension /closure of his trading account by sending a written request to the branch. This request will be in turn sent by branch to Head office for further processing where after the verification of the client's details, the trading account of the client will be suspended.
- The client would be required to clear all his dues as settlement of obligations before his account is temporarily suspended. The client may also be required to fulfil other conditions, on a case-by-case basis.
- The commodity broker can withhold the payout of the client and suspend / close his trading account due to any internal / Regulatory action.
- Upon suspension /closure of trading account an intimation will be sent to the client within 15 days of suspension.

10. De-Registration of client

In addition to what the client has agreed in the agreement, the commodity broker may terminate the client with immediate effect, but not limited to the following reasons –

- If the client is debarred by SEBI or any other Regulatory authority
- As part of surveillance measures, if a client appears to be indulging in manipulative practices.
- Under the circumstances when there is reasonable ground to believe the client is unable to clear its dues or has admitted his inability to pay its debts.
- If the client violates any terms of the agreement, client acceptance of policies and procedures mentioned hereinabove /we fully understood and agree to sign the same. Above policies and procedures may be amended /changed unilaterally by the commodity broker provided the same is informed to the client.

Client Signature		(6/17)
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TARIFF SHEET

Mandatory

	Brokerage Details:
For MCX - Futures	_____ %
For MCX - Options	_____ per lot
For NCDEX - Futures	_____ %
For NCDEX - Options	_____ per lot

Date : _____

Place : _____

CHARGES STRUCTURE					
CHARGES		Commodities MCX		Commodities NCDEX	
Sr. No.	Type Of Levies	Charges	Remarks	Charges	Remarks
1	GST	18.0000%	On Brokerage	18.00%	On Brokerage
2	Transaction Chages	0.0026%	On Total Turnover	0.0045%	On Total Turnover
3	Clearing Charges	0.0002875%	On Total Turnover	0.0002875%	On Total Turnover
4	Commodity Transaction ax	0.01%	Only on Sell Value	0.01%	Only on Sell Value
5	RMS Fees			0.0046%	On Total Turnover
6	Sebi Charges	0.00015%	On Total Turnover	0.00015%	On Total Turnover

- In addition o the above charges, stamp duty will be charged as per the applicable rates of the respecti e state where the client resides.
- Other Statutory Levis, viz. GST, Transaction Chages, Stamp Duty Charges, etc. will be levied in addition o the brokerage as stated above, or such other rates of brokerage as intim ted to the client from time to time.
- For delivery trades in commodity segment, brokerage will be charged @0.50%
- All rates and charges are subject to change in the event of Government or Exchange notif acation.
- Account opening charges Rs. 100/-

GST @ 18 % will be charged on following

- **Transaction chages**
- **Clearing Charges**
- **Sebi charges,Rms charges**
- **Delayed payment charges**

Signature of Client : _____ (7/17)

Voluntary Document

Additional Terms and Conditions for availing Commodity Broking services including Add on services

Client is aware that these Terms & Conditions (T&C) are laid down for the operational and administrative convenience and for providing the client certain additional services and facilities and as such are additional services and non mandatory. These terms & Conditions forms important basis for Commodity Broker to provide Broking and other Services to the clients these terms & Conditions forms important basis for Commodity Broker to provide Broking and other Services to the clients . These terms & Conditions are in addition to and not in derogation of the clauses of Rights and Obligations as stated in the mandatory part of this Booklet.

Now, Client hereby undertakes to abide by following Terms and Conditions at all point of time during the subsistence of relationship between the parties:

1. Whereas the CLIENT is desirous of investing /trading or dealing in those Derivatives contracts admitted for dealing on the exchange. The expression "Derivatives " shall mean and include.
 - i) Futures and Options in commodities, as permitted under Forward Contract Regulation Act (1952)
 - ii) Such other form of derivatives (if any) that are permitted to be dealt with in on exchanges from time to time , in accordance with the Rules, Bye-Laws and Regulations of the exchanges .
2. The Client has understood, appreciated and assumed all the risks associated with dealing in various kinds of Derivatives whether contained /covered in the Risk Disclosure Document appended hereinto or otherwise.
3. In consideration of the Member handling derivatives transaction carried out on exchanges, the Client agrees that :
 - a) Client shall deposit with Member, monies, securities, warehouse receipts or other property, which may be required to open and / or maintain his/her/its account or maintain his/her/its position.
 - b) Client shall not act alone or in concert with others, directly or indirectly, hold and control excess number of permitted contracts as fixed from time to time by the Exchanges.
 - c) Client shall not exercise a long or short position where, acting alone or in concert with others, directly or directly he/she/it will have exercised in excess of the limit of permitted contracts as may be fixed from time to time by the Exchanges.
4. All trades, transactions and contracts are subject to the Rules, Bye laws and Business rules of the Exchanges, and shall be deemed to be , and shall take effect as wholly made, entered into and to be performed in the city of Mumbai for the purpose of giving effect to the provisions of the rules , bye laws and business rules of the exchanges.
5. **Collateral:** The client shall pay to the Member such amount as an initial deposit (Collateral /initial deposit (Collateral / initial deposit) as decided by the Member , and in such form as may be approved by the Member either up front or on before creating a position in any contract. The member shall reserve/deduct the mark up margin (commonly referred to as haircut margin) from the collateral, which shall not be utilized /considered for margin requirements. The collateral reduced by mark up/haircut margin shall thereafter be utilized against creating and maintaining the position by the Client. The mark up margin shall be subject to the change from time to time as may be decided by the Member and /or the exchanges.
6. **Utilization by Member of the Initial Deposit by the Client:** The Initial deposit so paid shall be first utilized towards initial margin requirement as calculated by the Exchange from time to time and the balance if any, after such adjustment against initial margin payments, will be available for adjustment against daily margin requirement, Mark to Market (MTM) loss on open position created by the Client. The Client shall forward in advance a written request to the Member for adjustment of MTM loss against the cash portion of the collaterals.
7. **Receipts & payment of Premium MTM:** The Member will be block up-front from the collaterals maintained with it the MTM loss on open positions. The member shall accept from the Client further order, which, if executed, will add to the open positions , only if the balance collateral is sufficient to meet the requisite margin on such new positions . The client shall be obliged to pay the amount of MTM loss blocked against the collateral on the immediate succeeding business day. The member will adjust the Client's liability towards MTM loss against the initial deposit maintained in cash by the Client, provided a written request is given by the Client to the member to this effect. On a written request from the Client, MTM profit (on derivatives positions) shall be adjusted

towards the collateral maintained with the Member. These adjustments pertaining to MTM profit shall be treated as additional collateral brought in by the Client and the Member shall reserve the Mark Up margin from this collateral, which shall not be utilized for margin requirements. The total collateral (inclusive of mark to Market inflows) reduced by markup shall thereafter be utilized against creating and maintaining the position by the Client.

8. The Client acknowledges that all contracts culminating in delivery (which are not squared off and information for giving and taking delivery is given by the clients) would be transactions for purchase and sales between the clients inter-se and the Clients would be personally liable to each other though the contract and relationships are governed and regulated by the Bye Laws, Rules and Regulations of the Exchanges.
9. Trades may not be executed because there may be insufficient bids or offers or suspension of trading due to price limits or circuit breakers. The electronic trading systems either at the exchanges or with the Member are vulnerable to temporary disruption or failures. In the event of trade cancellation due to such events or vulnerabilities Member shall be entitled to cancel relative contracts with the client. At times due to such unforeseen circumstances the Member may not be able to execute the desired transactions (either the clients own transactions or transactions for enforcing margins) on a timely basis. The member doesn't accept responsibility for any losses that the Client may incur on such eventualities as mentioned herein or otherwise , beyond the control of the Member.

10. Trade Obligations: The Client shall accept all trades executed, resulting from the orders placed with the Member, irrespective of the fact that the order is executed partially or in full, on the Exchanges. In the event of non-confirmation of custodial trades by PCM for any reason whatsoever , all exchanges – levied margins , MTM losses (on open position) , will be borne by the Member for the unconfirmed trades . In such case , the Client shall pay to the Member , all margins levied by the Exchanges on the unconfirmed trades . In addition to the above , the Member shall have an option to call for collateral from the Client , to meet the subsequent daily margin obligation / MTM losses on the unconfirmed trades . The client shall be obliged to pay the daily margin , MTM on the immediate succeeding business day , of the day when the Member raises such margin requirement .

The Member shall not permit the Client to create any new open positions, until receipt of such additional margin requirement. Further client will be liable to pay penalty @ 21% P.A for margin shortfalls not honoured by the client from the date of default /shortfall till the date of payment.

- 11. Derivatives:** The client will be responsible for providing information for the purposes of giving /taking delivery against his Net Open Position along – with information necessary for giving /taking delivery within stipulated period as specified by the Exchange from time to time. Member shall submit the same to the Exchanges.
The Exchange at the end of stipulated period shall match the information provided by the Member against Net open Positions of the Client and shall confirm the Delivery / Receipt to be effected against Delivery information submitted by the Member. The Client shall co-ordinate with the Member to ensure that all requirements for giving /taking delivery are fulfilled. The Client shall ensure to comply with all statutory requirements laid down regarding sale /purchase of goods including payment of taxes, local levies and other statutory / regulatory charges as prescribed under applicable laws from time to time.
12. The client further undertakes to execute all trades for his/her /its own behalf only and will not indulge in any sub-broking activity unless it get itself duly registered as per regulations.
13. The Member declares that it has brought the contents of the Risk Disclosure Documents to the notice of Client and mad him aware of the significance of the said documents. The client agrees that :
 - a. The client has read and understood the risks involved in trading on commodity exchanges especially commodity derivatives.
 - b. The client shall be wholly responsible for all his decision and trades.
 - c. The failure of the client to understand the risks involved shall not render a contract as void or voidable and the Client shall continue to be responsible for all the risks and consequences of entering into trades in the any segment.
14. The Member shall send the trade confirmation to the Client in the form of the contract note cum bill or otherwise via e-mail courier, registered A.D. on the address intimated by the client. The client understands that it is his/her/its responsibility to review the trade confirmation, contract notes, bills, statement of account immediately after the receipt of the same. Clients are advised to review all

- communications and revert to the Member within prescribed time period for respective Exchange as applicable from time to time. The client agrees that the Member would not be responsible for the non-receipt of the trade confirmation due to change in the correspondence address /contact details of the Client not intimated to the Member or due to door closed/Client not available at the address.
15. The Member and client hereby agree that they shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between them and that they shall be liable to implement the arbitration awards made in such proceedings.
 - 15a. A client may further note that client shall not be liable to pay fees for arbitration claims below Rs.10 Lacs as per Exchange regulations.
 16. Information about default in payment /delivery and related aspects by a Client shall be brought to the notice of the relevant Exchanges. In case where defaulting client is a corporate entity /partnership/proprietary firm or any other artificial legal entity, then the name (s) of director (s) /promoter (s) /partner (s)/ Proprietor as the case may be, shall also be communicated to the relevant Exchanges.
 17. The services on the Website shall be available to the registered user subject to the terms and conditions mentioned thereof.
 18. The client is fully aware of the risk association with availing of Internet Trading Service through the Internet including unauthorized use of his Username and Trading password by third party. The client agrees that he shall be fully liable and responsible for any and all unauthorized use and misuse of his trading password and / or username / pin number and also for all acts done by any person through the website using the Client's user name in any manner whatsoever. Further, at no point in time shall the Member be liable for any loss, whether notional or actual, that may be suffered by the Client on account of the use and/ or misuse of the trading password and / or the Client User name.
 19. The client shall authorize the Member to debit /credit or block his trading and bank account in the manner as per procedure laid down in the terms and conditions and as per practices followed by the designated bank in this regard. Client also authorizes the Member to create a lien on the amount lying in his bank account in the manner specified in the terms and conditions.
 20. In case of a Market order, the Client agrees that he will accept the price at which his order is executed.
 21. The client agrees that the Member may discontinue his Internet Trading Services in part or in its entirety and change the terms of the service (including the terms on the Member's Internet Trading Website) without notice.
 22. In addition to the specific rights set out in Rights and obligations, the Member and the Client shall be entitled to exercise any other rights which the Member or the Client may have under the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.
 23. Client acknowledges that Member shall not be liable to provide any legal, Investment and/or tax advice. This extends to any decision made by client on the basis of any information that may be made available by member including that on Website /trading platform through SMS/Research Reports etc. And client's shall be personally responsible for decision taken by Client or action taken on his account.
 24. Any notice sent to email Id and/or address on Client shall be valid also Member can broadcast notice on its website or in any media and client shall be bound by it.
 25. Client agrees not to misuse account opened with Member for market Manipulation.
 26. Client confirm that he would be using his/her own funds for trading and shall act as face for any third party or use funds in violation of PMLA act, rules and regulations.
 27. The Member shall not be responsible for any losses, costs or damages resulting directly or indirectly from such force majeure events like:
 - i) Any action, omission, suspension of trading, decision or ruling of any exchanges or regulatory body, government or other body or any other person, which is beyond the member's control.
 - ii) Any war, strike, lock out, national disaster, act of terrorism, delay in postal services or any other delay in inaccuracy in the transmission of orders or other information or any breakdown, failure or malfunction of telecommunication or computer system beyond the control of the Member.

I / We have read and understood the above document. **Signature of Client :**  _____ (8/17)

RUNNING ACCOUNT AND OTHER AUTHORIZATION**Voluntary**To ,
Dealmoney Commodities Pvt. Ltd.

Date: _____

Client Name: _____

Client Code: _____

This is to inform Dealmoney Commodities Pvt. Ltd. that:

1. I/We maintaining a broking account with Dealmoney Commodities Pvt. Ltd. hereby authorize Dealmoney Commodities Private Ltd. to maintain my / our account on running account basis and settle my funds /commodities obligation on:
 Monthly Quarterly
2. I/We have a commodity derivatives trading account with Dealmoney Commodities Pvt. Ltd (DCPL) for trading purpose.
3. I/We have opted to have settleme t of funds//securitie c/commodities on a periodic basis t shall be suitably informed by me /us to DCPL and I/We authorize DCPL to retain settleme t credit in my/our account and to settle /elease the credits or any such amount only on receipt of specific advice / instruction in writing .
4. I/We shall send you a written /oral request whenever I/we require delivery/ payment of any commodities /funds.
5. I/We request you to retain Commodity /Securities / arehouse receipt in your Demat account for my / our margin / future obligation of MCX and / or NCDEX unless I/ e instruct you otherwise.
6. I/We request you to consider my/our telephonic or verbal instructions or placing /modificacation /cancellation of oderrs, as a wrien insnstruction e expressly agree that once the trade confirmaation is s t by you and/or contract note is accepted by me/us , there shall be no qesestions in relation of xxecution or non –xexecution of ourders fs for a particulaading day and I/We agree that I/We shall be fully liable and responsible for any such problems /glitches.
7. I/We hereby declare that I/We have read and understood the general guidelines issued by regulators/exchanges to the member to release funds/commodities p y-outs to the client within specified time ame of the exchange payout. However being fully aware of the same, I/We have authorized DCPL to retain /utilie credits as menntioned ab e, for operatonaonvenience .
8. I/We undertake that this authorization will onntinue until it ievoked by me/us and I/We may revoke this authorization t anny time.
9. Rs.50,000/- can be retained for the purpose of quarterly settleme t.

I/We further state that this authorization is gi en by me/us purely out of our choice of convenience. I/We shall not make any claim whatsoever upon DCPL , for DCPL standing guided by this authorization in favour of maintaining my/our account on running account basis.

Thanking You ,

Yours truly,



_____ (9/17)

Signature of Client

**Request Letter for trading in commodity forward contracts/commodity derivatives
on NCDEX and/ or MCX**

Date: _____

To
Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 22 4184 2222

Dear Sir,

**Subject: My/Our request for trading in commodity forward contracts/commodity derivatives on NCDEX and/ or MCX
as your client**

I/We, the undersigned, have taken cognizance of circular no. NCDEX/TRADING- 114/2006/247 dated September 28, 2006 issued by the National Commodity & Derivatives Exchange Limited (NCDEX) and of circular no. MCX/338/2006 dated 21st August 2006 issued by Multi Commodity Exchange (MCX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by NCDEX, MCX and/ or Forward Markets Commission and such position limits will be calculated in accordance with the contents of above stated circulars of NCDEX and/or MCX as modified from time to time.

I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on NCDEX and/or on MCX through you or through any other member(s) of NCDEX and/ or MCX, to enable you to restrict our position limit as prescribed by the above referred circulars of NCDEX and/ or MCX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on NCDEX and/or on MCX only on the basis of our above assurances and undertaking.

Yours faithfully,

Signature of the Client  _____ (10/17)

AUTHORISATION TO SEND PASSWORD BY SMS AND/OR EMAIL

To,

Date : _____

M/s Dealmoney Commodities Pvt. Ltd.

Please send my/ our system generated, internet account first time as well as subsequent password on my/ our following mobile number and/or the email id provided here under:

My Email id: _____

Also, if I/ We ever need to reset the password later, the same should be sent either by an email as per the company's discretion or by the SMS on the above given mobile number/email id or on mobile number or email id updated by me any time here after. I/We understand that as soon as I/ We receive the system generated password, I/ We shall login and change it for security reasons upon first login, as I/ We am/ are aware that the system generated password may expire. I/ We have been explained that I/ We shall reset my/ our new password as may be easy to remember for me/ us, but should not be easy to decipher for anybody else and should preferably be alpha numeric and not my/ our or any family member's name/ surname. I also confirm that the above mentioned mobile number shall be updated in all your records and databases including trading and demat account.

1. I/ We declare that the above is my/ our personal mobile number/email id and always remain in my/ our safe custody and not used by anybody else. I/ We shall keep DCPL informed of any change in my/ our number immediately for updating the same in databases. I/ We am/ are aware that without information for change in mobile number/email id, DCPL would not be able to send my/ our password over new mobile number/email id and would not be able to update in records and databases.
2. In the event that I/ We do not receive the password on SMS within 24 hours of account opening or request for change of password, I/ We shall contact the customer service department of DCPL immediately and request for re-setting of my/ our password.
3. I/ We am/ are fully aware of the risk of electronic loss/ theft and agree to be solely liable and responsible for the same as well as for any unauthorized use/misuse of the password. I/We understand that DCPL will not be responsible in any manner whatsoever for the same.
4. I/We am/ are fully aware that in case of change in my/our mobile number/email id anytime after opening of this account, it is mandatory for me/ us to submit the photocopy of a latest/ last 2 months' mobile bill for postpaid connection. If I/ We have prepaid connection, then I/ We have to personally visit to the branch along with my/ our ID proofs, i.e. PAN card or driving license and get the documents verified by the branch officials.
5. I/We may revoke this authorization at any point of time in the future.

Signature of the Client  _____(11/17)

Appendix A
Electronic Contract Note [ECN] – DECLARATION
(VOLUNTARY)

To,

M/s Dealmoney Commodities Pvt. Limited (Member of MCX and NCDEX)

Dear Sir,

I/We, _____ a client with Member M/s. Dealmoney Commodities Private Limited of MCX and NCDEX

Exchange undertake as follows:

- I/We am aware that the Member has to provide physical contract note in respect of all the trades placed by me/us unless I/We myself/ourself want the same in the electronic form.
- I/We am/our aware that the Member has to provide electronic contract note for my/our convenience on my/our request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I/We am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is * _____. This has been created by me and not by someone else.
- I/We am aware that this declaration form should be in English or in any other language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.


The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

****(The email id must be written in own handwriting of the client.)***

Client Name: _____

Unique Client Code : _____ PAN: _____

Address : _____

Signature of the client:  _____ (12/17) Date: _____ Place: _____

Verification of the client signature done by:

Name of the designated officer of the Member: _____

Signature:  _____ Date: _____

Consent form for receiving trade alerts through SMS and/or email from NCDEX

Date: _____

To,
The Compliance Officer
M/s Dealmoney Commodities Private Limited (TM ID - 1060)
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 22 4184 2222

We/I are/ am aware that National Commodity & Derivatives Exchange Ltd (NCDEX) provides SMS/email alerts to the constituents (clients) of its member for trades executed on its platform. We/I hereby provide and confirm my/our mobile number and/or email address as stated below for the purpose of receipt of SMS/email alerts. (Tick an option of your choice)

- I want to receive transaction alerts in SMS as well as Email from NCDEX
- I want to receive transaction alerts only in SMS from NCDEX
- I want to receive transaction alerts only in Email from NCDEX
- I do not want to receive any transaction alerts from NCDEX (specify reason) _____

The alerts should be sent on:

Mobile number:

Email ID _____

We/I agree to the terms and condition specified by the Exchange in its circular no. NCDEX/COMPLIANCE-007/2012/093 dated 19th March, 2012 as modified from time to time. We/I are/am aware that the receipt of SMS/Email alerts on the above mobile number and/or email address can be stopped only on our/my written request.

Name of the client: _____

Signature of the client:  _____ (13/17)

Client ID: _____

Consent form for receiving trade alerts through SMS and/or email from MCX

Date: _____

To,
The Compliance Officer
M/s Dealmoney Commodities Private Limited (TM ID - 40770)
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 4184 2222
Member ID – 40770

I/We, _____, a client with Dealmoney Commodities Private Limited, Member ID – 40770 (Affiliated with MCX), undertake as follows:

1. I/We am/are aware that Multi Commodity Exchange (MCX) provides the details of the trades executed on its trading platform to the concerned clients/ constituents through SMS and Email alerts.
2. I/We am/are aware that the member has to provide the trade details through SMS/Email alerts for my convenience at my request only.
3. I/We hereby confirm that I/We wish to receive the trade alerts through:

- a. SMS
- b. E mail
- c. SMS and Email

4. The alerts should be sent on:

a. Mobile Number

b. Email Address _____

I/We hereby agree to the terms and conditions specified by MCX vide circular no. MCX/T&S/165/2012 dated 26th April 2012 and circulars/clarifications issued by MCX from time to time in this regard. I/We are also aware that this is an additional facility provided by MCX, and I/We shall not solely rely or use such data for any purpose, and MCX shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

Client Name: _____

Client Signature:  _____ (14/17)

Unique Client Code: _____

PAN: _____

FATACA DECLARATION

Do you satisfy any country criteria mentioned below:

	Yes	No
a. Citizen of any country other than India (dual/multiple) [including Green card].		
b. Country of birth is any country other than India		
c. Tax resident of ANY country /ies other than India.		
d. POA or a mandate holder who has an address India.		
e. Address or telephone number outside India		

i) If your answer to any of the above questions is a YES'


Or

ii) In case of NRI Account

Subject to applicable Laws, I /we hereby give consent to share my information with domestic/overseas regulators of tax authorities wherever necessary.

I/We hereby declare and confirm that the details given above are true and correct.

Further I/We would like to state that in future if there is any change in my/our tax status (i.e if I/we become tax resident of any other country other than India) then the same will be informed to Dealmoney Commodities Pvt. Ltd. and FACTA Declaration will be submitted to you immediately.

Signed for and on behalf of	1st Holder signature	2nd Holder signature	3rd Holder signature
Signature	 15/17		

Adjustment in Different Exchanges/ Accounts

To,

Dealmoney Commodities Private Limited

Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.

Thane - 400 604, India. Tel : +91 4184 2222

Sub: Adjustment in Different Exchanges/Accounts maintained with you

Dear Sir ,

I/we executed /desire to execute and mandatory & voluntary document with Destimoney commodities for trading on the Multi Commodity Exchange of India Ltd. (MCX), National Commodity & Derivatives Exchange Ltd. (NCDEX). In this regard, I/We hereby request you to treat this mandatory & voluntary document between us whether in MCX/NCDEX as co-extensive and therefore I/we hereby authorized you to transfer, make adjustments and/or to set off a part or whole of the commodities place as margin and/or any surplus funds in any of my account(s) maintained with you i.e.either in MCX/NCDEX against the dues payable if any, by me/us in any of my /our account(s) maintained with Destimoney Commodities Pvt. Ltd. or vice versa, notwithstanding anything contrary contained in the mandatory & voluntary document between us. Destimoney Commodities Pvt. Ltd. Shall have right of lien on the credit balance in any of my/our accounts. Any entries passed by you in accordance with this authorization shall be binding on me/us .

Thanking you ,
yours Faithfully

_____ (16/17)

FORMAT OF DECLARATION BY HUF AND CONSENT LETTER
(To be obtained on Pre-Printed Letter head of the HUF)

To,
Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 4184 2222

Dear Sirs,
Re: Opening of a Trading Account and Demat Account

We the KARTA and the Co-parceners of(HUF) have decided to open a Commodity Trading Account with Dealmoney Commodities Private Limited (DCPL) in the name of KARTA. Mr.....is the Karta of the mentioned Hindu Undivided Family (HUF) and is authorized to open and operate singly the Commodity Trading Account with DCPL and sign all forms and documents for and on behalf of the HUF

We declare and state that the Karta is authorized to open the account and transfer, endorse, negotiate and execute necessary documents on behalf of the HUF, DCPL is hereby authorized to honour instructions, oral or written, given by the Karta on behalf of the HUF and has full unrestricted authority to bind all members of the HUF, however constituted from time to time. The specimen signatures of all the coparceners are given below

We the undersigned members of the HUF undertake to bind not only the present members of the said HUF (both adults and minors), but also all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally and our respective interests in the HUF as well as our separate estates towards discharge of our obligations to you arising out of the transactions done in the said trading account.

We also undertake that any and all claims due to DCPL from the said HUF shall be recoverable personally from all or any of us and also from the entire family properties of the HUF of which the signatory is the Karta, including the share of the minor coparceners.

We hereby undertake to inform you of any change occurring at any time in the membership of the HUF during the subsistence of the Account. We confirm to have read and understood the terms and conditions applicable to the Account and relating thereto.

Details of our HUF and all its Coparceners are stated as mentioned below:

Sr. No.	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature

I, hereby state that details mentioned above are true and any change in them would be intimated to you in writing

Title of HUF/Karta _____

Signature of Karta _____

(HUF Rubber stamp)

Format for Declaration fom Partnership Firm
(To be obtained on pre-printed Leter Head of the partnership firm)

To,
Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 4184 2222

Dear Sirs,
We the partners of M/s _____ a partnership firm, having its office at _____ city _____ state _____ hereby authorize Mr./Ms. _____ and Mr./Ms _____ to open a trading account on behalf of the firm M/s _____ with the Trading Member Dealmoney Commodities Private Limited (DCPL). He/She/They is/are authorized on behalf of the firm to trade, sell, purchase, transfer, endorse, neggotte documents and/or/otherwise deal through DCPL. He/She/They is/are also authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as m y be deemed necessary or expedient to open account and give effect to this purpose.
However any partner/authorized signatory (ies) can issue cheques from bank account in favour of Dealmoney Commodities Private Limited for credit to Trading account of the firm with DCPL even though his/their signatures may not be available on the records of DCPL.
We also recognize that a beneficiary account cannot be opened with a Depository PParticip t in the name of the partnership firm as per Depository regulaations.o facilitate the operation of the a e trading account with you and for the purpose of completing the commodities / securities ansfer obligations, pusuant to the trading operaations, e authorize you to recognize the beneficiary account No. _____ opened singly/jointly in the name of Managing partner/partners of the firm. We agree that the obligaationsor c commodities chased and/or sold by the firm will be handled and completed through transfers to/from the above mentioned ac ount.
We recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by ou in respect of trades executed in the above trading account of the firm.

Name of Parners (In Block Letters)	Signatures*

* (to be signed by all the partners of the firm under stamp of the firm)

BOARD RESOLUTION

(To be obtained on pre-printed Letter Head of the partnership firm)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF _____ AT THEIR MEETING HELD ON _____ .

RESOLVED THAT the Company to place orders with/give instructions o Dealmoney Commodities Private Limited (DCPL) for buying and selling index/stocks based futures and options on the deri aate segment of NCDEX and MCX.

RESOLVED FURTHER THAT any one of the following Directors/Executi es/Officers of the Company, whose specimen signatures are appended here under.

No.	Name of Authorised person	Designation	Specimen Signature

Be and are hereby authorized severally to

1. Sign, execute and deliver orders, instructions l eers, notes, contracts, share transfer forms and such other documents as may consider necessary from time to timeor the aforesaid purpose, and
2. Take all such actions and do all such things, as m y be deemed prudent, necessary and expedient for giving effects to the above rresolution from time to time.

RESOLVED FURTHER THAT the above resolution shall emain effffce and in forforce till such time as a fresh resolution ancelling or amending the same is passed by the Board of Directors of the Company is furnished to Dealmoney Commodities Pri ate Limited.

RESOLVED FURTHER THAT a copy of the above resolution duly certified as true by y one of the Directors of the Company be furnished to Destimon ey Commodities Prate Limited and such other parties as m y be required from time to time.

CERTIFIED AS TRUE COPY OF THE ORIGINAL

Director

Acknowledgement of receipt of Client Registration Documents

I/We hereby confirm that I have read & received the copies of both Rights and Obligations i.e. Rights and Obligations of Members, Authorised Persons and Clients (for Trading account), Uniform Risk Disclosure Document, Internet & Wireless Technology Based Trading Facility Provided by Members to Client & Guidance Note - Do's and Don'ts for the Clients, forming part of this Client Registration Application Form with M/s. Dealmoney Commodities Private Limited.

Signature of the Client (17/17)

FORMAT OF SHAREHOLDING PATTERN
(For Corporates)

ON LETTERHEAD OF COMPANY

Certificate dated _____

submitted by _____ to

SHARE HOLDING PATTERN of _____ as on _____

EQUITY / PREFERENCE (Please indicate and use separate sheets for equity / preference shares)

Sr. No.	Name \$	Number of shares held	Face value per share	Amt Paid up (Rs. In lakh)	% of total
1					
2					
3					
4					
5					
6					
7					
8					
Others					
TOTAL					100 %

\$ All initials to be expanded

NOTES :

Persons holding 25% or more of the paid up capital should be shown separately and not clubbed in Others.

Date :

Place : Authorised Signatory/Director (s)

CERTIFICATE

This is to certify that the shareholding in _____ as given above, based on my/our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Place:

For (Name of Accounting Firm)

Date:

Name of Partner/Proprietor

Chartered Accountant

Membership Number

Dealmoney Commodities Private Limited

AHMEDABAD

A-210, 2nd Floor, Titanium City Center,
Anand Nagar, Satellite, Ahmedabad - 15

BANGALORE

No-11/2, Honey House, Queens Road,
Above Sanjevani, Bangalore 560052.

CHANDIGARH

SCO 55-56-57, Second Floor,
Above IDBI Bank, Madhya Marg,
Sector 8-C, Chandigarh - 160009

COCHIN-KERALA

B-3,39/6800, 4th Floor, Vallamattam Estate,
M. G. Road, Ravipuram, Cochin, Kerala - 682015

DAVANGERE

#718, Bakkeshwar Choultry Road,
Sri.S. Nijalingappa Layout, Davangere - 577006

DELHI

Plot No - 9, Mansarover Garden, Opp
Shaheed Bhagat Singh Park, Kirti Nagar,
New Delhi - 110015

JAIPUR

Unit No. 603, 6th Floor, Green House,
O-15, Ashoka Marg, C-Scheme,
Jaipur 302001, Rajasthan

KANPUR

605, 6th Floor, 15/63 Krishna tower,
Near Green Park Stadium, Civil Lines,
Kanpur-208001

LUDHIANA

SCO 137, 1st floor, Feroze Gandhi Market,
Ludhiana Punjab 141001

MUMBAI (Malad)

301, Quantum Tower, Ram Baug Lane,
S.V.Road, Malad (West), Mumbai 400064

PUNE

Office No 03, 2nd Floor, Kedar Empire Building,
Paud Phata Flyover, Kothrud,
Pune - 411038

RAJAHMUNDRY

D.NO :- 6-6-10, GSRao Complex,
3rd floor, Above Samsung Show room,
Opp SBI , T-Nagar, Rajahmundry-533101

SALEM

No.100, 2nd floor, CUB Bank Upstairs,
ARA Shopping Complex,
Arunachala Asari Street, Salem 636001.

VIZAG

Door No.49-34-1/63, BK Tower,
3rd Floor, Main Road, Akkayyapalem NH-5 Junction,
Vishakhapatnam, Andhra Pradesh 530016

BANGALORE

No,4/1, First floor, Devaiah Court, 22nd Cross,
8th Main, 3rd Block Jayanagar, Bangalore - 560011

BHUBANESHWAR

Janpath Tower, Room No - 210,
2nd Floor, Ashok Nagar, Bhubaneswar,
Orissa - 751009

CHENNAI

No: 29, Dr. Nairs Road, 2nd Federal
Building, T. Nagar, Chennai - 600 017

COIMBATORE

551-A, 3rd Floor, West Lokamanya Street,
R.S. Puram, Coimbatore - 641002.

DELHI

Plot No - 9, 2 floor, Mansarover Garden,
Opp Shaheed Bhagat Singh Park, Kirti Nagar,
New Delhi - 110015

HYDERABAD

Flat No.303, South Block Archana Arcade,
Besides Srikara Hospital, Near Secunderabad
Railway Station, Hyderabad Telangana - 500025

KOLKATA

46, B. B. Ganguly Street, 1st Floor,
Unit # 8, Kolkata - 700012

LUCKNOW

6th Floor, Office No.603, Ratan Square,
Vidhan Sabha Marg, Lucknow - 226001

MUMBAI (Andheri)

E-201 and E-202, Remi Bizcourt situated
Off Veera Desai Road, Andheri (W),
Mumbai - 400 053

NAGPUR

Pl.No. 50/A ,Fl.No. 202, C.J . Forever,
Central Bazaar Road, Bajaj Nagar,
Nagpur - 440010

PUNE

4th Floor, Geetai Sankul, Sr.No. 129,
Ideal colony, Paudroad, Kothrud,
Pune-411038

THANE

Plot No. 356/357, Wagle Industries Estate,
Road No. 26, Near Wagle Bus Depot,
Thane (W) - 400 604

VADODARA

202, Pavab Bldg, Anand Nagar Soc;
Opp. Kashiveshwar Temple,
Nr. Citi Bank ATM, Productivity Road,
Alkapuri, Vadodara - 390007

VIJAYAWADA

Uttam Towers, 3rd Floor, M.G. Road,
Vijayawada, Opp to Hotel Gateway