

Dealmoney Commodities Private Limited

AHMEDABAD

A-210, 2nd Floor, Titanium City Center,
Anand Nagar, Satellite, Ahmedabad - 15

BANGALORE

No-11/2, Honey House, Queens Road,
Above Sanjevani, Bangalore 560052.

CHANDIGARH

SCO 55-56-57, Second Floor,
Above IDBI Bank, Madhya Marg,
Sector 8-C, Chandigarh - 160009

COCHIN-KERALA

B-3,39/6800, 4th Floor, Vallamattam Estate,
M. G. Road, Ravipuram, Cochin, Kerala - 682015

DAVANGERE

#718, Bakkeshwar Choultry Road,
Sri.S. Nijalingappa Layout, Davangere - 577006

DELHI

Plot No - 9, Mansarover Garden, Opp
Shaheed Bhagat Singh Park, Kirti Nagar,
New Delhi - 110015

JAIPUR

Unit No. 603, 6th Floor, Green House,
O-15, Ashoka Marg, C-Scheme,
Jaipur 302001, Rajasthan

KANPUR

605, 6th Floor, 15/63 Krishna tower,
Near Green Park Stadium, Civil Lines,
Kanpur-208001

LUDHIANA

SCO 137, 1st floor, Feroze Gandhi Market,
Ludhiana Punjab 141001

MUMBAI (Malad)

301, Quantum Tower, Ram Baug Lane,
S.V.Road, Malad (West), Mumbai 400064

PUNE

Office No 03, 2nd Floor, Kedar Empire Building,
Paud Phata Flyover, Kothrud,
Pune - 411038

RAJAHMUNDRY

D.NO :- 6-6-10, GSRao Complex,
3rd floor, Above Samsung Show room,
Opp SBI ,T-Nagar, Rajahmundry-533101

SALEM

No.100, 2nd floor, CUB Bank Upstairs,
ARA Shopping Complex,
Arunachala Asari Street, Salem 636001.

VIZAG

Door No.49-34-1/63, BK Tower,
3rd Floor, Main Road, Akkayapalem NH-5 Junction,
Vishakhapatnam, Andhra Pradesh 530016

BANGALORE

No,4/1, First floor, Devaiah Court, 22nd Cross,
8th Main, 3rd Block Jayanagar, Bangalore - 560011

BHUBANESHWAR

Janpath Tower, Room No - 210,
2nd Floor, Ashok Nagar, Bhubaneshwar,
Orissa - 751009

CHENNAI

No: 29, Dr. Nairs Road, 2nd Federal
Building, T. Nagar, Chennai - 600 017

COIMBATORE

551-A, 3rd Floor, West Lokamanya Street,
R.S. Puram, Coimbatore - 641002.

DELHI

Plot No - 9, 2 floor, Mansarover Garden,
Opp Shaheed Bhagat Singh Park, Kirti Nagar,
New Delhi - 110015

HYDERABAD

Flat No.303, South Block Archana Arcade,
Besides Sri Kara Hospital, Near Secunderabad
Railway Station, Hyderabad Telangana - 500025

KOLKATA

46, B. B. Ganguly Street, 1st Floor,
Unit # 8, Kolkata - 700012

LUCKNOW

6th Floor, Office No.603, Ratan Square,
Vidhan Sabha Marg, Lucknow - 226001

MUMBAI (Andheri)

E-201 and E-202, Remi Bizcourt situated
Off Veera Desai Road, Andheri (W),
Mumbai - 400 053

NAGPUR

Pl.No. 50/A ,Fl.No. 202, C.J . Forever,
Central Bazaar Road, Bajaj Nagar,
Nagpur - 440010

PUNE

4th Floor, Geetai Sankul, Sr.No. 129,
Ideal colony, Paudroad, Kothrud,
Pune-411038

THANE

Plot No. 356/357, Wagle Industries Estate,
Road No. 26, Near Wagle Bus Depot,
Thane (W) - 400 604

VADODARA

202, Pavab Bldg, Anand Nagar Soc;
Opp. Kashiveshwar Temple,
Nr. Citi Bank ATM, Productivity Road,
Alkapuri, Vadodara - 390007

VIJAYAWADA

Uttam Towers, 3rd Floor, M.G. Road,
Vijayawada, Opp to Hotel Gateway

Form No:	
Branch Name	
Branch Code	
Client Name	
Unique Client Code	
Back Office Code	
Trading Type	
DP Branch Code	
DP Client ID	
Date of Activation	
Docket sent by Branch Dt	
Docket received at HO Dt	
Dealer Code	

Client Registration Form for Commodities Account

(For Individual Client)

Dealmoney Commodities Private Limited

(Formerly known as Destimoney Commodities Pvt. Ltd.)

Registered & Correspondence Office : Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.

Thane - 400 604, India. Tel : +91 4184 2222 • Fax: +91 4184 2257

Email: customercare@dealmoney.in • Website: www.dealmoneyonline.com

INDEX

Sr. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	i) KYC Application Form - Document captures the basic information about the client and an instruction / check list for filling KYC form.	2-7
2	Uniform Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the commodities market.	As per Annexure
3	Rights and Obligations of Members, Authorized Persons and Clients	Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
4	Do's and Don'ts for the Investors	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
5	Policies and procedures	Significant policies and procedures of the stock broker.	8-9
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the Commodity Exchange(s).	10
VOLUNTARY DOCUMENTS AS PROVIDED BY THE MEMBER			
7	Running Account and other Authorization	Letter by the client authorizing the trading member to maintain his account on running basis and authorizing member to consider client's telephonic or verbal instructions for placing/ modification/ cancellation of orders as a written instructions	11
8	Request Letter for trading	Request Letter for trading in commodity forward contracts/ commodity derivatives on NCDEX and on MCX	12
9	Authorisation to send password by SMS and/or Email	Letter by the client authorizing the trading member to send password by SMS and/or Email	13
10	Consent form for receiving trade alerts through SMS and/or email from MCX/NCDEX	Letter by client requesting the member to register his mobile number and/or email id to receive transaction alerts via mobile and/or email directly from MCX/NCDEX for the trades executed by him on trade date	14
11	Common Mobile No. / E-mail ID	Common Mobile No. / E-mail ID for family accounts	15
12	FATCA / CRS Declaration	FATCA Declaration for where client hold tax residency	16
13	Adjustment in Different Exchanges - Accounts	Adjustment in Different Exchanges - Accounts	17

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Aadhaar/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/

Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

**Know Your Client (KYC)
Application Form (For Individuals Only)**

Dealmoney Commodities Private Limited

Application No.:

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)	
1. Name of Applicant (As appearing in supporting identification document). Name <table border="1" style="width:100%; height: 20px; border-collapse: collapse;"></table>	<div style="border: 1px solid black; padding: 5px;"> <p>PHOTOGRAPH</p> <p>Please affix the recent passport size photograph and sign across it</p> </div>
Father's/Spouse Name <table border="1" style="width:100%; height: 20px; border-collapse: collapse;"></table> Mother Name <table border="1" style="width:100%; height: 20px; border-collapse: collapse;"></table>	
2. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female B. Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married C. Date of Birth <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table>	
3. Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Other _____ 4. Status Please tick (✓) <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)	
5. PAN <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> Please enclose a duly attested copy of your PAN Card Unique Identification Number (UID)/Aadhaar, if any: _____	 1/15
6. Proof of Identity submitted for PAN exempt cases Please tick (✓) <input type="checkbox"/> UID (Aadhaar) <input type="checkbox"/> Passport <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving Licence <input type="checkbox"/> Others _____	(Please see guideline 'D' overleaf)

B. Address Details (please see guidelines overleaf)							
1. Address for Residence <table border="1" style="width:100%; height: 40px; border-collapse: collapse;"></table>							
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">City / Town / Village</td> <td style="width: 30%;">Country</td> <td style="width: 20%;">Pin Code</td> </tr> <tr> <td>State</td> <td></td> <td></td> </tr> </table>	City / Town / Village	Country	Pin Code	State			
City / Town / Village	Country	Pin Code					
State							
2. Contact Details Tel. (Off.) (ISD) (STD) <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> Tel. (Res.) (ISD) (STD) <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> Mobile (ISD) (STD) <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> Fax (ISD) (STD) <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> Email Id. <table border="1" style="width: 100%; height: 20px; border-collapse: collapse;"></table>							
3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached. <input type="checkbox"/> Aadhaar <input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Registered Lease/Sale Agreement of Residence <input type="checkbox"/> Driving License <input type="checkbox"/> *Latest Bank A/c Statement/Passbook <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> *Latest Gas Bill <input type="checkbox"/> Others _____ (Please specify) *Not more than 3 Months old. Validity/Expiry date of proof of address submitted <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table>							
4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant <table border="1" style="width:100%; height: 40px; border-collapse: collapse;"></table>							
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">City / Town / Village</td> <td style="width: 30%;">Country</td> <td style="width: 20%;">Pin Code</td> </tr> <tr> <td>State</td> <td></td> <td></td> </tr> </table>	City / Town / Village	Country	Pin Code	State			
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6. Any other Information _____							

DECLARATION	SIGNATURE OF APPLICANT
I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.	<div style="border: 1px solid black; width: 100%; height: 100%;"></div>
Place: _____ Date: _____	

FOR OFFICE USE ONLY															
Dealmoney Commodities Private Limited <input type="checkbox"/> (Originals Verified) Self Certified Document copies received <input type="checkbox"/> Attested true copies of documents received	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 33%; text-align: center; padding: 5px;"> Dealmoney Commodities Pvt. Ltd. </td> <td style="width: 33%; text-align: center; padding: 5px;"> For Dealmoney Commodities Pvt. Ltd. </td> <td style="width: 33%;"></td> </tr> <tr> <td style="padding: 5px;"> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Staff Name</td> <td style="width: 50%;">Date</td> </tr> <tr> <td>Designation</td> <td></td> </tr> </table> </td> <td style="padding: 5px;"> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Staff Name</td> <td style="width: 50%;">Date</td> </tr> <tr> <td>Designation</td> <td></td> </tr> </table> </td> <td style="padding: 5px;"> IPV Done on <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table> </td> </tr> </table>	Dealmoney Commodities Pvt. Ltd.	For Dealmoney Commodities Pvt. Ltd.		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Staff Name</td> <td style="width: 50%;">Date</td> </tr> <tr> <td>Designation</td> <td></td> </tr> </table>	Staff Name	Date	Designation		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Staff Name</td> <td style="width: 50%;">Date</td> </tr> <tr> <td>Designation</td> <td></td> </tr> </table>	Staff Name	Date	Designation		IPV Done on <table border="1" style="width: 100px; height: 20px; border-collapse: collapse;"></table>
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Staff Name	Date														
Designation															
Staff Name	Date														
Designation															

KYC (ACCOUNT OPENING) APPLICATION FORM (FOR INDIVIDUALS)

SR No. : _____

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of Clients)

To,

M/s Dealmoney Commodities Private Limited

Reg. Office Address : Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate. Thane - 400 604, India.

Tel : +91 22 4184 2222 Fax:

Email: customercare@dealmoney.in/ compliance@dealmoney.inWebsite: www.dealmoneyonline.com**Registration Numbers:****MCX TCM ID - 40770, SEBI REGISTRATION NO. INZ000102031****NCDEX TCM ID - 1060, SEBI REGISTRATION NO. INZ000102031****Name of the CEO: Mr. Dishant Sagwaria****Telephone:** 022 - 4184 2222**Email:** md@dealmoney.in**Name of the Compliance Officer: Mr. Michael D'Souza****Telephone:** 022 - 4184 2222**Email:** compliance@dealmoney.in

For any grievance/dispute please contact Dealmoney Commodities Private Limited at the above address or email id- customercare@dealmoney.in and Phone no. 91-22- 4184 2222 and if not satisfied with the response, please contact the concerned exchange(s):

For MCX: E-mail id:- grievance@mcxindia.com and Phone no. +91- 22-66494070For NCDEX: E-mail id:- ig@ncdex.com and Phone no. +91- 22-66406789

Dear Sirs,

I request you to register me/us as your Client. I/We have read the Rules, Bylaws and Regulations of the MCX, NCDEX and SEBI and agree to abide by them. In this regard, I give the following information:

A. OTHER DETAILS

Gross Annual Income Details (please specify) Income Range per annum:	<input type="checkbox"/> Below Rs. 1 Lac	<input type="checkbox"/> Rs. 1 to 5 Lac	<input type="checkbox"/> Rs. 5 to 10 Lac	<input type="checkbox"/> Rs. 10 to 25 Lac	<input type="checkbox"/> Above Rs. 25 Lac
OR					
Net Worth as on _____ (dd / mm / yyyy)			Rs. _____ (Net worth should not be older than 1 year)		

Occupation (please tick any one and give brief details):
 Private Sector Public Sector Government Service Business Professional Farmer

 Others(specify) _____

Brief Details of Occupation _____

Please tick, as applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)
 Not a Politically Exposed Person (PEP) Not Related to a Politically Exposed Person (PEP)

B. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type: Saving / Current / Others	MICR No.	IFSC Code

Note: Provide a copy of cancelled cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank



C. DEPOSITORY ACCOUNT(S) DETAILS, if available

Depository Participant Name	Depository Name (NSDL/ CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

D. TRADING PREFERENCES

Note: Please sign in the relevant boxes against the Exchange with which you wish to trade. The Exchange not chosen should be struck off by the client.

Sr. No.	Name of the National Commodity Exchanges #		Date of Consent for trading on concerned Exchange	Signature of the Client
1	Multi Commodity Exchange of India Limited	Futures <input type="checkbox"/> Options <input type="checkbox"/>		 _____ (3/15)
2	National Commodity & Derivatives Exchange Limited	Futures <input type="checkbox"/> Options <input type="checkbox"/>		 _____ (4/15)

In case a client opts for trading on any other Exchange at a later date, which is not selected now, client shall submit a separate consent letter and it will be kept as an enclosure with this document.

E. INVESTMENT/TRADING EXPERIENCE

___ No Prior Experience ___ Years in Commodities ___ Years in other investment related fields

F. GST Registration Details (As applicable, State wise)

Local Sales Tax State Registration No.:	Validity Date:	Name of the State:

G. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/ taken by FMC/ SEBI / Stock exchange / Commodity exchange/any other authority against the client during the last 3 Years: _____

H. DEALINGS THROUGH OTHER MEMBERS

If client is dealing through any other Member, provide the following details (in case dealing with multiple Members, provide details of all in a separate sheet containing all the information as mentioned below) :

Member's / Authorized Person (AP)'s Name: _____

Exchange: _____ Exchange's Registration number: _____

Concerned Member's Name with whom the AP is registered: _____

Registered office address: _____

Phone: _____ Fax: _____ Client Code: _____

Email: _____ Website: _____

Details of disputes/dues pending from/to such Member/AP: _____

I. INTRODUCER DETAILS (optional)

Name of the Introducer: _____

(Surname)

(Name)

(Middle Name)

Status of the Introducer: Authorized Person Existing Client Others, please specify _____

Address and phone no. of the Introducer: _____

Phone No.: _____

Signature of the Introducer:  _____

J. ADDITIONAL DETAILS

I would like to receive following documents through PHYSICAL ELECTRONIC MODE

Rights and Obligations/ Uniforms Risk Disclosure Documents / Do's and Dont's

K. NOMINATION DETAILS

I/We wish to nominate

I/ We do not wish to nominate

Name of the Nominee: _____ Relationship with the Nominee: _____

PAN of Nominee: _____ Date of Birth of Nominee:


Address of the Nominee: _____

Phone No.: _____

If Nominee is a minor, details of guardian:

Name of guardian: _____ Address of Guardian: _____

Phone No.: _____

Signature of guardian  _____

WITNESSES (Only applicable in case the account holder has made nomination)

Name _____

Name _____

Signature _____

Signature _____

Address _____

Address _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non-mandatory documents.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Do's and Dont's'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Member's designated website viz. www.dealmoneyonline.com and/or other such website which may be designed by the company from time to time.

Place: _____ Date: _____ Signature of Client:  _____ (5/15)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals
Name of the Employee	
Employee Code	
Designation of the employee	
Date	
Signature	

I / We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD, 'Do's and Dont's' and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website viz. www.dealmoneyonline.com and/or other such website which may be designed by the company from time to time for the information of the clients'

Signature of the Authorised Signatory:  _____

Date: _____

Seal/Stamp of the Member

1. Refusal of orders of Commodity Derivatives

The client is aware and agrees that the commodity broker may refuse or restrict a client in placing the order in certain commodities depending on various conditions like volume /value /part of illiquid commodities although a client may have credit balance or sufficient margin in the trading account. However Commodity broker under exceptional circumstances may execute cliental order. The commodity broker has the discretion to reject execution of such orders based on its risk perception.

2. Setting up Client's Exposure Limit

The client is aware and agrees that commodity broker may set the Exposure limits on the basis of available base capital which may comprise of ledger and collaterals (after suitable margin hair cut), The limits may be allowed on a multiplier basis to the available capital or actual VAR margin basis or a specified margin depending on the market conditions , clients agreed that said limit parameters is a dynamic process that is allowed at the discretion of the commodity broker based on the market conditions and their risk perception about the market . However on exceptional situations broker may use its own discretion in providing the limits and may charge for a client or for all depending on market conditions.

3. Applicable Brokerage Rate

The brokerage would be charged to the clients based on the rates mutually agreed upon from time to time, subject to the ceilings prescribed by the exchanges / SEBI.

4. Imposition of penalty /delayed payment charges:

- The client is required to pay all amounts due to the commodity broker on its due date. The amount due to broker shall include all types of margins and pay-in obligations or on account of any other reason .
- In case the client does not pay the amount due on time, the commodity broker shall charge delayed payment charges up to the rate of 21% per annum on daily outstanding values. In case of commodity market, interest will be charges from the time it becomes due till the time obligation is cleared by the client. The objective of charging such charge is to force a client to clear their dues on timely basis.
- The client agrees that the commodity broker may impose fines /penalties for any orders / trades of the clients which are contrary to the rules & regulations of the exchange. Further under the instances where the commodity broker has been penalized from any authority on account of as a consequence of orders/trades of the client the same shall be borne by the client.

5. The right to sell the clients commodity derivatives position or close clients position, without giving notice to the client , on account of non payment of client dues .

The client shall provide timely funds /securities/commodities for the purchase / sales of commodities to the broker for meeting his obligation to the Exchange . In case of client falling short of providing funds /commodities the commodity broker has the right to close the position. The Commodity broker has the right to sell clients securities or close clients position with or without giving prior notice to client on account of non payment of dues to the extent of ledger debit and / or to the extent of margin obligations. The Broker can liquidate the securities bought or collaterals given or any other commodities given in any other form for clearing the clients obligation.

6. Shortage in obligation arising out of internal netting of trades.

The internal close out policy for handling internal shortages in commodities is in line with the exchange policy for handling shortages at Exchange level. Apart from this the seller will be additionally debited by 0.5% penalty . Thus the buyer will get the credit of shortage based on the calculation given by Exchanges and the seller will be debited by the same amount plus 50% penalty, any changes in this policy will be updated on Dealmoney Commodity Pvt. Ltd website from time to time.

7. Conditions under which are client may not be allowed to take further position or the Broker may

close the existing position of client

In case over all position in derivatives contracts has reached the regulators prescribed Exchange limit / Market wide open interest limit, than client may not be allowed to take further position, till such time regulator prescribed limits comes down to create a new position. Further, the commodity broker may close the existing position of the client to the extent of debit balances to release the margin from the Exchange . In case if the commodity broker has sufficient margin cover on behalf of its clients, it may still decide based on the market conditions and risk perception not to allow further position or may close the selling position of a client .

8. Dormant Account Policy

Client account will be considered as dormant if the client does not trade for period of 6 months. The client has to make request for reactivation of their account.

9. Temporary suspension/ closure of accounts

- The client may request the commodity broker the temporary suspension /closure of his trading account by sending a written request to the branch . This request will be in turn sent by branch to Head office for further processing where after the verification of the clients details, the trading account of the client will be suspended .
- The client would be required to clear all his dues as settlement of obligations before his account is temporarily suspended , The client may also be required to fulfil other conditions , on a case to case basis .
- The commodity broker can withhold the payout of the client and suspend / close his trading account due to any internal / Regulatory action.
- Upon suspension /closure of trading account an intimation will be sent to client with in 15 days of suspension.

10.De Registration of client

In addition to what the client has agrees in the agreement, the commodity broker may terminate the client with immediate effect , but not limited the following reasons –

- If the client is debarred by SEBI or any other Regulatory authority
- As part of surveillance measures, if a client appears t be indulging in manipulative practices.
- Under the circumstances when there is reasonable ground to believe the client is unable to clear its dues or has admitted his inability to pay its debts.
- If the clients violates any terms of the agreement, client acceptance of policies and procedures mentioned hereinabove l/we fully understood and agree to sign the same. Above policies and procedures may be amended /changed unilaterally by the commodity broker provided the same is informed to me us.

Client Signature 	(6/15)
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TARIFF SHEET

Mandatory

	Brokerage Details:	
	For MCX - Futures	_____ %
	For MCX - Options	_____ per lot
	For NCDEX - Futures	_____ %
	For NCDEX - Options	_____ per lot

Date : _____

Place : _____

CHARGES STRUCTURE					
CHARGES		Commodities MCX		Commodities NCDEX	
Sr. No.	Type Of Levies	Charges	Remarks	Charges	Remarks
1	GST	18.0000%	On Brokerage	18.00%	On Brokerage
2	Transaction Charges	0.0026%	On Total Turnover	0.0045%	On Total Turnover
3	Clearing Charges	0.0002875%	On Total Turnover	0.0002875%	On Total Turnover
4	Commodity Transaction Tax	0.01%	Only on Sell Value	0.01%	Only on Sell Value
5	RMS Fees			0.004%	On Total Turnover
6	Sebi Charges	0.00015%	On Total Turnover	0.00015%	On Total Turnover

- In addition to the above charges, stamp duty will be charged as per the applicable rates of the respective state where the client resides.
- Other Statutory Levies, viz. GST, Transaction Charges, Stamp Duty Charges, etc. will be levied in addition to the brokerage as stated above, or such other rates of brokerage as intimated to the client from time to time.
- For delivery trades in commodity segment, brokerage will be charged @0.50%
- ☐ All rates and charges are subject to change in the event of Government or Exchange notification.
- Account opening charges Rs. 100/-

GST @ 18 % will be charged on following

- **Transaction charges**
- **Clearing Charges**
- **Sebi charges, Rms charges**
- **Delayed payment charges**

Signature of Client :


(7/15)

RUNNING ACCOUNT AND OTHER AUTHORIZATION**Voluntary**

To ,
Dealmoney Commodities Pvt. Ltd.

Date: _____

Client Name: _____

Client Code: _____

This is to inform Dealmoney Commodities Pvt. Ltd. that:

1. I/We maintaining a broking account with Dealmoney Commodities Pvt. Ltd. hereby authorize Dealmoney Commodities Private Ltd. to maintain my / our account on running account basis and settle my funds /commodities obligation on:
 Monthly Quarterly
2. I/We have a commodity derivatives trading account with Dealmoney Commodities Pvt. Ltd (DCPL) for trading purpose.
3. I/We have opted to have settlement of funds/securities/commodities on a periodic basis that shall be suitably informed by me /us to DCPL and I/We authorize DCPL to retain settlement credit in my/our account and to settle /release the credits or any such amount only on receipt of specific advice / instruction in writing .
4. I/We shall send you a written /oral request whenever I/we require delivery/ payment of any commodities /funds.
5. I/We request you to retain Commodity /Securities /Warehouse receipt in your Demat account for my / our margin / future obligation of MCX and / or NCDEX unless I/we instruct you otherwise.
6. I/We request you to consider my/our telephonic or verbal instructions for placing /modification /cancellation of orders, as a written instruction. We expressly agree that once the trade confirmation is sent by you and/or contract note is accepted by me/us , there shall be no questions in relation of execution or non – execution of our orders for a particular trading day and I/We agree that I/We shall be fully liable and responsible for any such problems /glitches.
7. I/We hereby declare that I/We have read and understood the general guidelines issued by regulators/exchanges to the member to release funds/commodities pay-outs to the client within specified time frame of the exchange payout. However being fully aware of the same, I/We have authorized DCPL to retain /utilize credits as mentioned above, for operational convenience .
8. I/We undertake that this authorization will continue until it is revoked by me/us and I/We may revoke this authorization at any time.
9. Rs.50,000/- can be retained for the purpose of quarterly settlement.

I/We further state that this authorization is given by me/us purely out of our choice of convenience. I/We shall not make any claim whatsoever upon DCPL , for DCPL standing guided by this authorization in favour of maintaining my/our account on running account basis.

Thanking You ,
Yours truly,



Signature of Client (8/15)

**Request Letter for trading in commodity forward contracts/commodity derivatives
on NCDEX and/ or MCX**

Date: _____

To
Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 22 4184 2222

Dear Sir,

**Subject: My/Our request for trading in commodity forward contracts/commodity derivatives on NCDEX and/ or MCX
as your client**

I/We, the undersigned, have taken cognizance of circular no. NCDEX/TRADING- 114/2006/247 dated September 28, 2006 issued by the National Commodity & Derivatives Exchange Limited (NCDEX) and of circular no. MCX/338/2006 dated 21st August 2006 issued by Multi Commodity Exchange (MCX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by NCDEX, MCX and/or SEBI and such position limits will be calculated in accordance with the contents of above stated circulars of NCDEX and/or MCX as modified from time to time.

I/We undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on NCDEX and/or on MCX through you or through any other member(s) of NCDEX and/ or MCX, to enable you to restrict our position limit as prescribed by the above referred circulars of NCDEX and/ or MCX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on NCDEX and/or on MCX only on the basis of our above assurances and undertaking.

Yours faithfully,

Signature of the Client  _____ (9/15)

AUTHORISATION TO SEND PASSWORD BY SMS AND/OR EMAIL

To,

Date : _____

M/s Dealmoney Commodities Pvt. Ltd.

Please send my/ our system generated, internet account first time as well as subsequent password on my/ our following mobile number and/or the email id provided here under:

My Email id: _____

Also, if I/ We ever need to reset the password later, the same should be sent either by an email as per the company's discretion or by the SMS on the above given mobile number/email id or on mobile number or email id updated by me any time here after. I/We understand that as soon as I/ We receive the system generated password, I/ We shall login and change it for security reasons upon first login, as I/ We am/ are aware that the system generated password may expire. I/ We have been explained that I/ We shall reset my/ our new password as may be easy to remember for me/ us, but should not be easy to decipher for anybody else and should preferably be alpha numeric and not my/ our or any family member's name/ surname. I also confirm that the above mentioned mobile number shall be updated in all your records and databases including trading and demat account.

1. I/ We declare that the above is my/ our personal mobile number/email id and always remain in my/ our safe custody and not used by anybody else. I/ We shall keep DCPL informed of any change in my/ our number immediately for updating the same in databases. I/ We am/ are aware that without information for change in mobile number/email id, DCPL would not be able to send my/ our password over new mobile number/email id and would not be able to update in records and databases.
2. In the event that I/ We do not receive the password on SMS within 24 hours of account opening or request for change of password, I/ We shall contact the customer service department of DCPL immediately and request for re-setting of my/ our password.
3. I/ We am/ are fully aware of the risk of electronic loss/ theft and agree to be solely liable and responsible for the same as well as for any unauthorized use/misuse of the password. I/We understand that DCPL will not be responsible in any manner whatsoever for the same.
4. I/We am/ are fully aware that in case of change in my/our mobile number/email id anytime after opening of this account, it is mandatory for me/ us to submit the photocopy of a latest/ last 2 months' mobile bill for postpaid connection. If I/ We have prepaid connection, then I/ We have to personally visit to the branch along with my/ our ID proofs, i.e. PAN card or driving license and get the documents verified by the branch officials.
5. I/We may revoke this authorization at any point of time in the future.

Signature of the Client  _____ (10/15)

Consent form for receiving trade alerts through SMS and/or email from MCX and NCDEX

Date: _____

To,
The Compliance Officer
M/s Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 4184 2222

I/We, _____, a client with Dealmoney Commodities Private Limited,
undertake as follows:

1. I/We am/are aware that Multi Commodity Exchange (MCX) and National Commodity and Derivatives Exchange Ltd. (NCDEX) provides the details of the trades executed on its trading platform to the concerned clients/constituents through SMS and Email alerts.
2. I/We am/are aware that the member has to provide the trade details through SMS/Email alerts for my convenience at my request only.
3. I/We hereby confirm that I/We wish to receive the trade alerts through:
 - a. SMS
 - b. E mail
 - c. SMS and Email
4. The alerts should be sent on:
 - a. Mobile Number
 - b. Email Address _____

I/We hereby agree to the terms and conditions specified by MCX vide circular no. MCX/T&S/165/2012 dated 26th April 2012, NCDEX circular no. NCDEX/COMPLIANCE-007/2012/093 dated 19th March, 2012 and circulars/clarifications issued by MCX/NCDEX from time to time in this regard. I/We are also aware that this is an additional facility provided by MCX/NCDEX, and I/We shall not solely rely or use such data for any purpose, and MCX/NCDEX shall not be liable for any direct or indirect loss of any nature because of providing this additional facility. We/I are/am aware that the receipt of SMS/Email alert on the above mobile number and/or email address can be stopped only on our/my written request.

Client Name: _____

Client Signature:  _____ (11/15)

Unique Client Code: _____

PAN: _____

Voluntary Document

Family Declaration - Email/ Mobile Updation in Family Codes

To,

Date:





M/s. Dealmoney Commodities Private Limited
Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.
Thane - 400 604, India. Tel : +91 22 4184 2222

Dear
Sir/Madam,

**Subject: i) Details of mobile number and email id.
ii) Family Declaration**


i) I and my family members hereby request that mobile number and email id , same as mentioned on KRA Page belonging to us shall be considered in your records for the purpose of receiving communication from you or Stock Exchanges/ Depositories with regard to details of trading transactions executed through you.

Thus, any communication relating to our trading and demat account should be sent to the above mentioned mobile number and e mail id. This facility shall be provided to us as an exception, for our convenience of receiving transaction details at a single mobile number and mail id. I understand that for the purpose of availing the above facility "family" means self, spouse, dependent children and dependent parents. (As per the SEBI Guidelines)

Sr. No.	Client Name	Client ID / DP ID	Relationship with Undersigned	Signature
i.				
ii.				
iii.				
iv.				

(If family members are more than four, kindly provide details in separate sheet.)

The above details shall be deemed valid till any change is requested under the modification process.

Client Code	Name	Signature
		 _____ (12/15)

Place: _____

Dealmoney Commodities Private Limited

FATCA DECLARATION

FATCA/CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL	
Sr. No.	Details
1	Are you Indian Resident Person? (Refer Risk Disclosure Document at www.dealmoneyonline.com) <input type="checkbox"/> Yes <input type="checkbox"/> No
2	Specify country of residence for tax purpose (If above clause is no)
3	Specify Tax Identification No./Others

Note: If you are a U.S. person and/or if your tax residency/nationality/citizenship is other than India, then please provide declaration/self certification under FATCA/CRS. This declaration form can be downloaded from www.dealmoneyonline.com or call at Customer Care Helpdesk at (022) 4184 2211 or write to customer-care@dealmoney.in

Client Name: _____

Client Signature:  _____ (13/15)

Unique Client Code: _____

PAN: _____

Adjustment in Different Exchanges/ Accounts

To,

Dealmoney Commodities Private Limited

Plot. No. A-356/357, Road No. 26, Nr. Wagle Bus Depot, Wagle Estate.

Thane - 400 604, India. Tel : +91 4184 2222

Sub: Adjustment in Different Exchanges/Accounts maintained with you

Dear Sir ,

I/we executed /desire to execute and mandatory & voluntary document with Dealmoney commodities for trading on the Multi Commodity Exchange of India Ltd. (MCX), National Commodity & Derivatives Exchange Ltd. (NCDEX). In this regard, I/We hereby request you to treat this mandatory & voluntary document between us whether in MCX/NCDEX as co-extensive and therefore I/we hereby authorized you to transfer, make adjustments and/or to set off a part or whole of the commodities place as margin and/or any surplus funds in any of my account(s) maintained with you i.e.either in MCX/NCDEX against the dues payable if any, by me/us in any of my /our account(s) maintained with Dealmoney Commodities Pvt. Ltd. or vice versa, notwithstanding anything contrary contained in the mandatory & voluntary document between us. Dealmoney Commodities Pvt. Ltd. Shall have right of lien on the credit balance in any of my/our accounts. Any entries passed by you in accordance with this authorization shall be binding on me/us .

Thanking you ,
yours Faithfully

_____ (14/15)

Acknowledgement of receipt of Client Registration Documents

I/We hereby confirm that I have read & received the copies of both Rights and Obligations i.e. Rights and Obligations of Members, Authorised Persons and Clients (for Trading account), Uniform Risk Disclosure Document, Internet & Wireless Technology Based Trading Facility Provided by Members to Client & Guidance Note - Do's and Don'ts for the Clients, forming part of this Client Registration Application Form with M/s. Dealmoney Commodities Private Limited.

_____ (15/15)

Signature of the Client