

Complaints – Broking & DP		ANNEXURE-B				
Data for Month ending September -2023						
Sr. No.	Received from	Carried forward from previous Year	Received during the year	Total Pending	Resolved*	Pending at the end of the year**
1	Directly from Investors	6	25	9	16	15
2	SEBI (SCORES)	0	10	1	10	1
3	Depositories	0	24	0	23	0
4	Other Sources(if any)	0	0	0	0	0
	Grand Total	6	59	10	49	16
Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.						
Trend of monthly disposal of						
Sr. No.	Month	Carried forward from previous month	Received	Resolved *	Pending**	
1	Apr-21	0	2	2	0	
2	May-21	0	0	0	0	
3	Jun-21	0	2	2	0	
4	Jul-21	0	1	1	0	
5	Aug-21	0	0	0	0	
6	Sep-21	0	1	1	0	
7	Oct-21	0	0	0	0	
8	Nov-21	0	2	1	1	
9	Dec-21	1	1	1	0	
10	Jan-22	0	1	1	0	
11	Feb-22	0	0	0	0	
12	Mar-22	0	0	0	0	
13	Apr-22	0	1	1	0	
14	May-22	0	2	2	0	
15	Jun-22	0	0	0	0	
16	Jul-22	0	0	0	0	
17	Aug-22	0	2	2	0	
18	Sept 22	0	2	2	0	
19	Oct-22	0	1	2	0	
20	Nov-22	0	4	4	0	
21	Dec-22	0	3	3	0	
22	Jan-23	0	2	2	0	
23	Feb-23	0	1	1	0	
24	Mar-23	0	0	0	0	
25	Apr-23	0	1	0	1	
26	May-23	1	1	1	1	
27	Jun-23	1	2	0	2	
28	Jul-23	0	0	0	0	
29	Aug-23	0	0	0	0	
30	Sep-23	0	2	2	0	
	Grand Total	3	34	31	5	
* Inclusive of complaints of previous months resolved in the current						
** Inclusive of complaints pending as on the last day of the month.						
Trend of annual disposal of complaints						
Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year	
1	2017-18	0	399	398	1	
2	2018-19	1	217	203	14	
3	2019-20	14	124	99	25	
4	2020-21	25	16	15	1	
5	2021-22	1	10	9	1	
6	2022-23	1	18	18	0	
7	2023-24	0	6	3	3	
	Grand Total	42	790	745	45	